**SMI determination is based on the age of the individual, functional impairment, duration of the disorder and the diagnoses. Adults must meet all of the following five criteria:**

1. **Age**: Must be an adult 18 years of age or older.

2. **Diagnoses**: Have one of the following diagnoses that has been determined within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the American Psychiatric Association *Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR) specified from the list below.

3. **Functional Impairment**: Have a Global Assessment of Functioning of 50 or below identified on Axis V that has been assessed within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the American Psychiatric Association *Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR)
   — or —
   Have a diagnosis of Diagnosis of Schizophrenia (all 295.XX codes) that does not require a GAF determination.

4. **Functional Domain**: Have a functional limitation identified on Axis IV that has been assessed within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the American Psychiatric Association *Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR).

5. **Duration**: Expected duration of the disorder is to be six months or longer.

**Schizophrenia — All 295.XX diagnoses**

- Schizophrenia 295.xx (all 295.xx diagnoses)

**Other Psychotic Disorders — Requires a GAF of 50 or below to qualify as SMI**

- Delusional Disorder 297.1
- Delusional Disorder Paranoid State 297.0
- Shared Psychotic Disorder 297.3
- Unspecified Psychosis 298.9

*continued on next page*
Major Depression and Bipolar Disorder — Requires a GAF of 50 or below to qualify as SMI

☐ Major Depression 296.xx (all except Mood Order NOS 296.90)
☐ Bi-Polar Disorders 296.xx (all except Bi-Polar NOS 296.80)

Other Mood Disorders — Requires a GAF of 50 or below to qualify as SMI

☐ Cyclothymic Disorder 301.13

Anxiety Disorders — Requires a GAF of 50 or below to qualify as SMI

☐ Panic Disorder w/out Agoraphobia 300.01
☐ Generalized Anxiety Disorder 300.02
☐ Phobic Disorder 300.2
☐ Panic Disorder w/Agoraphobia 300.21
☐ Social Phobia 300.23
☐ Obsessive-Compulsive Disorder 300.3
☐ Posttraumatic Stress Disorder 309.8

Eating Disorders — Requires a GAF of 50 or below to qualify as SMI

☐ Anorexia Nervosa 307.1
☐ Bulimia Nervosa 307.51

Somatoform Disorders — Requires a GAF of 50 or below to qualify as SMI

☐ Conversion Disorder 300.11

Dissociative Disorders — Requires a GAF of 50 or below to qualify as SMI

☐ Dissociative Amnesia 300.12
☐ Dissociative Fugue 300.13
☐ Dissociative Identity Disorder 300.14

Factitious Disorders — Requires a GAF of 50 or below to qualify as SMI

☐ Factitious Disorder with Psychological Signs and Symptoms 300.16
Person must meet SMI criteria and at least one of the following:

A. Symptom Severity and Other Risk Factors

- Significant current danger to self or others or presence of active symptoms of a SMI as evidenced by a GAF score of 40 or below.
- Three or more emergency room visits or psychiatric hospitalizations within the last year.
- Individuals must meet the ASAM Placement Criteria for Level III or IV services and must have a High Score on the following dimensions: intoxicated/withdrawal potential, biomedical condition, emotional/behavior/cognitive conditions.
- Person is experiencing trauma symptoms related to sexual assault, domestic violence or other traumatic event.
- Severe impairment in at least one Axis IV functional domain or moderate functional impairment in multiple domains.

B. Co-Occurring Disorders

- Substance Dependency diagnosis and any mental illness that affects functionality.
- SMI or Substance Dependency and potentially life-threatening medical condition (e.g., diabetes, HIV/AIDS, hepatitis).
- SMI or Substance Dependency and Developmental Disability.
Severe Emotional Disturbance (SED)
CRITERIA CHECKLIST

SED determination is based on the age of the individual, functional impairment or symptoms, diagnoses and duration of the disorder. The child/adolescent must meet all of the following four criteria:

1. Age:
   - be a person under the age of 18;
   - OR
   - be a person between the ages of 18 and 21, who received services prior to the 18th birthday, was diagnosed with an SED, and demonstrates a continued need for services

2. Functional Impairment or Symptoms:
   - **Functional Impairment in two of the following capacities (compared with expected developmental level):**
     - **Functioning in self-care:**
       Impairment in self-care is manifested by a person's consistent inability to take care of personal grooming, hygiene, clothes, and meeting of nutritional needs.
     - **Functioning in community:**
       Impairment in community function is manifested by a consistent lack of age-appropriate behavioral controls, decision-making, judgment and value systems which result in potential involvement or involvement in the juvenile justice system.
     - **Functioning in social relationships:**
       Impairment of social relationships is manifested by the consistent inability to develop and maintain satisfactory relationships with peers and adults.
     - **Functioning in the family:**
       Impairment in family function is manifested by a pattern of significantly disruptive behavior exemplified by repeated and/or unprovoked violence to siblings and/or parents, disregard for safety and welfare of self or others (e.g., fire setting, serious and chronic destructiveness, inability to conform to reasonable expectations that may result in removal from the family or its equivalent).
     - **Functioning at school/work:**
       Impairment in any one of the following:
       - The inability to pursue educational goals in a normal time frame (e.g. consistently failing grades, repeated truancy, expulsion, property damage or violence toward others).
       - Identification by an IEP team as having an Emotional/Behavioral Disability.
       - The inability to be consistently employed at a self-sustaining level (e.g., inability to conform to work schedule, poor relationships with supervisor and other workers, hostile behavior on the job).

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**Symptoms — the child/adolescent must have one of the following:**

- **Psychotic symptoms:**
  Serious mental illness (e.g. schizophrenia) characterized by defective or lost contact with reality, often with hallucinations or delusions.

- **Danger to self, others and property as a result of emotional disturbance:**
  The individual is self-destructive, e.g. at risk for suicide, runaway, promiscuity, and/or at risk for causing injury to persons or significant damage to property.

3. **Diagnoses:** The child/adolescent has an emotional and/or behavioral disability that has been diagnosed by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the *American Psychiatric Association Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR) from the following list:

   **Adult diagnostic categories appropriate for children and adolescents**
   - Substance Dependence Disorders (303.90 - 304.90)
   - Schizophrenia and Other Psychotic Disorders (293.81, 293.82, 295.10 – 295.90, 297.1, 297.3, 298.9)
   - Mood Disorders (293.83, 296.00 – 296.90, 300.4, 301.13, 311)
   - Anxiety Disorders (293.89, 300.00 – 300.02, 300.16 – 300.3, 300.7, 308.3, 309.81)
   - Somatoform Disorders (300.11, 300.81)
   - Sexual and Gender Identity Disorders (302.2 – 302.6, 302.85, 302.89, 302.9)
   - Impulse Control Disorders (312.30, 312.33, 312.34)

   **Disorders usually first diagnosed in infancy, childhood, or adolescence**
   - Attention-Deficit and Disruptive Behavior Disorders (312.8, 314.00 – 314.9)
   - Reactive Attachment Disorder of Infancy or Early Childhood (313.89)

4. **Duration:**

   - has an emotional disability that has persisted for at least six months;
     AND
   - that same disability must be expected to persist for a year or longer.
1. **Multi-system involvement**: The youth is currently involved with two or more public child-serving divisions or agencies including mental health, juvenile justice, or child welfare (e.g., they have case workers/case managers in two or more systems).

2. **At risk of out-of-home placement due to SED**: Current evaluation indicates child meets level of care for the following out-of-home placements:
   - Residential facility for severe behavioral, psychiatric issues (ARTC, RTC, Group home).
   - Residential treatment program for youthful sexual offenders.
   - OR
   - Child has multiple failed attempts in intensive outpatient services (IOP) and family is unable to maintain the child in the home without significant support.

3. **Hospitalization**: Current hospitalization in an inpatient psychiatric facility or two psychiatric admissions within the past 12 months.

4. **Recent (within last six months) or pending discharge from a**:
   - Residential facility for severe behavioral, psychiatric issues.
   - Residential treatment program for youthful sexual offenders.
   - OR
   - Two or more placements in a residential program for severe behavioral, psychiatric or sexual offending issues within the past 12 months.

5. **Suicide attempts**: A history of two or more suicide attempts within the past 12 months resulting in medical intervention.

6. **History of significant trauma.**
At-risk SED determination is based on the age of the individual, involvement in children’s services systems, and psychosocial stressors. The child/adolescent must meet all of the following three criteria:

1. **Age:** Child/adolescent must be a person under the age of 21; and

2. **System Involvement:** Child/adolescent must be involved with or at high risk for involvement with CYFD Protective Services (PS) and/or Juvenile Justice Services (JJS) and/or Tribal Social Services; and

3. **Psychosocial Stressors:** Child/adolescent must be experiencing at least two of the following specific circumstances:

   - Significant behavioral, emotional, or mental health issues that do not meet all of Severe Emotional Disturbance (SED) criteria.
   - Suicide attempt within the past year.
   - Suicide attempt by parent/guardian of child/adolescent within the past year.
   - Substance abusing behaviors by child/adolescent.
   - Substance abusing behaviors by parent/guardian.
   - Multiple delinquent acts and/or involvement with law enforcement within the past year.
   - Multiple school problems, including suspension or expulsion from school, within the past year.
   - Currently precariously housed/at-risk of homelessness, homeless and/or runaway.
   - Incarcerated parent(s)/guardian.
   - Physical, sexual, emotional abuse or neglect of child/adolescent — current or known history.
   - Multi-generational history of familial maltreatment, neglect or abuse.
   - Current teen parent or involvement in a teen pregnancy within the past year.
   - Current experience of cultural, sexual and/or gender identity issues.
   - Witness to or participant in violence in school or community.
CSD determination is based on the age of the individual, diagnoses, functional impairment, and duration of the disorder and the diagnoses. Adults must meet all of the following criteria:

1. **Age:** Must be an adult 18 years of age or older.

2. **Diagnoses:** Have one of the following diagnoses that has been determined within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the *American Psychiatric Association Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR) specified from the list below:
   - Alcohol Dependence (303.90)
   - Cannibis Dependence (304.30)
   - Cocaine Dependence (304.20)
   - Amphetamine Dependence (304.40)
   - Hallucinogen Dependence (304.50)
   - Opioid Dependence (304.00)
   - Phencyclidine Dependence (304.60)
   - Sedative, Hypnotic, or Anxiolytic Dependence (304.10)
   - Polysubstance Dependence (304.80)

3. **Functional Impairment:** Have a Global Assessment of Functioning of 50 or below identified on Axis V that has been assessed withing the prior 12 months by a licensed psychologist, LISW, LMFT, or LPCC under the classification system in the *American Psychiatric Association Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR).

4. **Functional Domain:** Have a functional limitation on Axis IV that has been assessed within the prior 12 months by a licensed psychologist, LISW, LMFT, or LPCC under the classification system in the *American Psychiatric Association Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR).

5. **Duration:** Expected duration of the disorder is to be six months or longer.
Adult COD is defined as the following:

1. Serious Mental Illness (SMI) and a substance user disorder.
2. Chronic Substance Dependence (CSD) and a mental health disorder.
3. SMI or CSD and a developmental disability.